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13. ABSTRACT (Maximum 200 words) An ammonia based growth scheme was developed to achieve large growth rates and high quality III-N materials. The benefits of isomorphic substrates were pointed out followed by the demonstration of GaN growth on ZnO substrates. The band discontinuities were determined, recognizing the strong piezoelectric effect. Other developments include: low resistance ohmic contacts, highly ideal shottli barriers, large power density MODFETs, optically pumped stimulated emission to demonstrate the quality of the separate confinement heterostructures, and finally UV detectors with the lowest reported noise and high responsivity.					
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## Progress in Group III-Nitrides

Tremendous progress has been made in the deposition by MBE, processing and devices in regards to GaN and related heterostructures. We developed an ammonia based growth scheme to achieve large growth rates and high quality, pointed out the benefits of isomorphic substrates followed by the demonstration of GaN growth on ZnO substrates, determined the band discontinuities recognizing the strong piezoelectric effect, low resistance ohmic contacts, highly ideal Schottky barriers, large power density MODFETs and a MODFET model predicting the superb performance exhibited by MODFETs, optically pumped stimulated emission to demonstrate the quality of the separate confinement heterostructures, and finally UV detectors with the lowest reported noise and high responsivity. Each of these areas of research and progress is highlighted below with abstracts of papers we have published.

Wook Kim, Özgür Aktas, Andrei Botchkarev, and Hadis Morkoç, "Reactive Molecular Beam Epitaxy of Wurtzite GaN Grown with Ammonia: Material Characteristics and Growth Kinetics", J. Appl. Phys. Vol. 79, No. 10, pp. 7657-7666, (1996).

### Abstract

High quality GaN by reactive molecular beam epitaxy (RMBE) with high growth rates ( $\sim 2 \mu\text{m/hr}$ ) employing ammonia gas as a nitrogen source is described. The high crystalline quality is evidenced by our recently reported modulation doped field effect transistors, GaN/AlGaIn separate confinement heterostructures, GaN/AlGaIn quantum wells, GaN epitaxial layers exhibiting only the intrinsic transitions, with even the second excited states of excitonic transitions visible, in the emission spectra, long excitonic lifetimes, and high quality Schottky contacts. The dependence of background carrier concentration and resistivity on substrate temperature is studied. The hexagonal nature of wurtzite GaN manifests itself as hexagonal features on the film, becoming as large as  $\sim 5 \mu\text{m}$  with facets at high growth temperatures such as  $800^\circ\text{C}$ . For low V/III ratios, large hexagonal

hillocks, with highly strained regions on them, are formed due to the localized preferential growth. The photoluminescence (PL) characteristics of the films grown with low V/III ratio is studied. The PL spectra were analyzed to uncover the effect of substrate temperature on the films, and on the ground and excited state excitons. The influence of two competing factors, viz., thermal dissociation of ammonia and thermal desorption of Ga from the surface, on the growth kinetics was investigated.

G. A. Martin, S. T. Strite, A. Botchkarev, A. Agarwal, A. Rockett, W. R. L. Lambrecht, B. Segall, and H. Morkoç, "Valence Band Discontinuity Between GaN and AlN Measured by X-Ray Photoemission Spectroscopy", *Journal of Electronic Materials*, APR, Vol. 24, N4, pp. 225-227.1995.

#### Abstract

The valence-band discontinuities at various wurtzite GaN, AlN, and InN heterojunctions were measured by means of x-ray photoemission spectroscopy. A significant forward-backward asymmetry was observed in the InN/GaN – GaN/InN and InN/AlN – AlN/InN heterojunctions. The asymmetry was understood as a piezoelectric strain effect. We report the valence band discontinuities for InN/GaN =  $1.05 \pm 0.25$  eV , GaN/AlN =  $0.70 \pm 0.24$  eV , and InN/AlN =  $1.81 \pm 0.20$  eV , all in the standard type I lineup. These values obey transitivity to within the experimental accuracy. Tables of photoemission core level binding energies are reported for wurtzite GaN, AlN, and InN.

Z-Q. Fang and D. C. Look, W. Kim and Z. Fan, A. E. Botchkarev and H. Morkoç, "Deep centers in n-GaN grown by reactive molecular beam epitaxy", *Appl. Phys. Lett.* in press,

#### Abstract

Deep centers in Si-doped n-GaN layers grown by reactive molecular beam epitaxy have been studied by deep level transient spectroscopy along with their dependence on growth conditions. Si-doped GaN samples grown on Si-doped n+ GaN contact layers at 800 °C show a dominant trap

$C_1$  with an activation energy,  $E_T=0.44$  eV and a capture cross section,  $\sigma_T=1.3 \times 10^{-15} \text{ cm}^2$ , while samples grown at 750 °C on undoped semi-insulating GaN buffer layers show prominent traps  $D_1$  and  $E_1$ , with  $E_T=0.20$  eV and  $\sigma_T=8.4 \times 10^{-7} \text{ cm}^2$ , and  $E_T=0.21$  eV and  $\sigma_T=1.6 \times 10^{-14} \text{ cm}^2$ , respectively. Trap  $E_1$  is believed to be related to a N-vacancy defect since the Arrhenius signature of  $E_1$  is very similar to the previously reported trap E, which is produced by 1-MeV electron irradiation in GaN grown by both metal-organic chemical vapor deposition and hydride vapor-phase epitaxy.

F. Hamdani, A. Botchkarev, H. Tang, W. Kim, and H. Morkoç "Effect of Substrate Surface Polarity and Buffer Layer on the Growth of GaN on ZnO by Molecular Beam Epitaxy" Appl. Phys. Lett., Vol. 71, pp. 3111-3114, (1997).

We present results on the effect of substrate surface polarity, O and Zn faces, on the quality of GaN epitaxial layers grown on ZnO(0001) substrates by reactive ammonia molecular beam epitaxy. The possible effects dealing with the disparity in surface preparation of the two faces have been eliminated. Photoluminescence and reflectivity measurements demonstrate that the oxygen-face leads to higher quality GaN on ZnO compared to the zinc-face. We also present optical data obtained by using low temperature AlN, GaN and  $\text{In}_x\text{Ga}_{1-x}\text{N}$  buffer layers. The best result has been obtained with nearly lattice matched  $\text{In}_{0.20}\text{Ga}_{0.80}\text{N}$  buffer layer.

H. Tang, W. Kim, A. Botchkarev, G. Popovici, F. Hamdani and H. Morkoç, "Analysis of Carrier Mobility and Concentration in Si-doped GaN Grown by Reactive Molecular Beam Epitaxy" Solid State Electronics, in press

Abstract

Quantitative information on acceptor concentration, donor concentration and donor activation energy has been obtained for Si-doped GaN epitaxial layers grown by reactive MBE through simultaneous fitting of the temperature dependent Hall mobility ( $\mu$ ) and carrier concentration ( $n$ ) data. The analysis indicates that the electron mobility at high temperatures is significantly influenced by crystal defects. But the dominant scattering at low temperatures is by ionized impurities. Fitting the mobility data over a wide temperature range yields a good estimate of the acceptor concentration ( $N_A$ ) in the sample which is then used as a known parameter in the fit to the  $n$  vs  $T$  data in order to determine the other important parameters, namely the donor activation energy ( $E_D$ ) and donor concentration ( $N_D$ ). Correct estimation of  $N_A$  is very important because the fitted value of  $E_D$  is very sensitive to the value of  $N_A$ . For a good fit, two donor levels had to be used in all the Si-doped GaN layers except in a sample grown at relatively lower temperature. The thermal activation energies of the shallower donor levels determined in different samples range from 4.5 meV to 8.9 meV, and are consistent with screened hydrogenic donors in GaN. The deeper levels are from 77 meV to 122 meV in different samples and not hydrogenic.

Zhifang Fan, S. Noor Mohammad, Wook Kim, Özgür Aktas, Andrei E. Botchkarev, and Hadis Morkoç, "Very low Resistance Multi-layer Ohmic Contact to n-GaN", Appl. Phys. Letts. Vol. 68, pp. 1672- 1674, (1996).

#### abstract

A new metallization scheme has been developed for obtaining very low ohmic contact to n-GaN. The metallization technique involves the deposition of a composite metal layer Ti/Al/Ni/Au (150Å/2200Å/400Å/500Å) on n-GaN preceded by a reactive ion etching (RIE) process which most likely renders the surface highly n type. Of the several attempts and with annealing at 900 °C for 30 sec, contacts with specific resistivity values of  $\rho_s=1 \times 10^{-7} \Omega \text{cm}^2$  or lower for a doping level of  $4 \times 10^{17} \text{ cm}^{-3}$  were obtained.

Sergei Ruvimov, Zuzanna Liliental-Weber, Jack Washburn, K.J. Duxstad and E.E. Haller, S.N. Mohammad, Z. Fan, and H. Morkoç, "Microstructure of Ti/Al and Ti/Al/Ni/Au ohmic contacts for n-GaN" Appl. Phys. Letts., Vol. 69, No. 11, pp. 1556-1558. September 9, (1996).

#### Abstract

Transmission electron microscopy has been applied to characterize the structure of Ti/Al and Ti/Al/Ni/Au ohmic contacts for n-type GaN ( $\sim 10^{17} \text{ cm}^{-3}$ ) epitaxial layers. The metals were deposited either by conventional electron-beam or thermal evaporation techniques, and then thermally annealed at 900 °C for 30 sec in a  $\text{N}_2$  atmosphere. Before metal deposition, the GaN surface was exposed to a reactive ion etching. A thin polycrystalline TiN layer epitaxially matched to the (0001) GaN surface was detected at the interface with the GaN substrate. This layer was studied in detail by electron diffraction and high resolution electron microscopy. The orientation relationship between the cubic TiN and the GaN was found to be:  $\{111\}\text{TiN} // \{00.1\}\text{GaN}$ ,  $[110]\text{TiN} // [11.0]\text{GaN}$ ,  $[112]\text{TiN} // [10.0]\text{GaN}$ . The formation of this cubic TiN layer results in a high excess of N vacancies in the GaN close to the interface which is considered as the reason for the low resistance of the contact

S. N. Mohammad, Zhifang Fan, A. E. Botchkarev, W. Kim, Ö. Aktas, A. Salvador, and H. Morkoç, "Near Ideal Platinum-GaN Schottky Diodes" Electronics Letters, Vol. 32, pp. 598 (1996).

#### Abstract

Fabrication and characterization of Pt/n-GaN Schottky barrier diodes are described. The n-GaN was grown by reactive molecular beam epitaxy method for the fabrication of these diodes. The capacitance-voltage (C-V) characteristics indicates marginal trap density in the semiconductor, and the current-voltage (I-V) characteristics give ideality factor very close to unity. Barrier height deduced both from I-V and C-V measurements are about 1.10 eV provided the influence of

scattering is considered negligible. This conforms again the near absence of interface traps in the diodes, and suggests that the effective mass of electron in GaN is 0.2.

F. Stengel, S. N. Mohammad and H. Morkoç, "Theoretical Characteristics of AlGa<sub>N</sub>/Ga<sub>N</sub> MODFETs" J. Appl. Phys., Vol. 80 No. 5, pp 3031-3042, 1 September (1996).

#### abstract

A theoretical investigation of the electrical characteristics of GaN/Al<sub>x</sub>Ga<sub>1-x</sub>N ( $x$  is the Al mole fraction in AlGa<sub>N</sub>) MODFETs is carried out. Using a self-consistent solution of Schrödinger's equation and Poisson's equation, relations between the concentration of two-dimensional electron gas (2DEG), the Fermi level in GaN, and the average distance of the electrons from the heterointerface are calculated. A relation between the gate bias and the 2DEG concentration is obtained for a flat quasi Fermi level in AlGa<sub>N</sub>. Based on the relation between the 2DEG concentration and the applied gate bias a model for the drain current and the transconductance of the device is developed. The effects of the AlGa<sub>N</sub> thickness, the spacer layer thickness, the doping level, the aluminum mole fraction  $x$ , and the channel length  $L$ , are presented. Theoretical results are compared with the recent experimental data, which show striking agreement. The 2DEG concentration is found to be as high as  $10^{13} \text{ cm}^{-2}$ , and transconductance as high as 1,000 mS/mm. Finally, the effect of the difference in the properties of AlN and GaN, and a device structure that would take advantage of the bending of the quasi Fermi level for electrons in AlGa<sub>N</sub>, are discussed.

Özgür Aktas, W. Kim, Z. Fan, S.N. Mohammad, A. Botchkarev, A. Salvador, B. Sverdlov, and H. Morkoç "High Transconductance-Normally-Off Ga<sub>N</sub> MODFETs", Electron. Letts. Vol. 31, No. 16, pp. 1389-1390, (1995).

#### Abstract



Normally off GaN based modulation doped field-effect transistors have been fabricated. The extrinsic transconductance of MODFETs with gate and channel lengths of  $3\text{ }\mu\text{m}$  and  $5\text{ }\mu\text{m}$  is as high as  $120\text{ mS/mm}$ . The devices exhibit  $300\text{ mA/mm}$  current at a positive gate bias of  $3\text{ V}$ . This transconductance value compares very favorably with  $45\text{ mS/mm}$  and  $24\text{ mS/mm}$  reported earlier for  $1\text{ }\mu\text{m}$  and  $0.23\text{ }\mu\text{m}$  gate devices, respectively.

Z. Fan, S. N. Mohammad, Ö. Aktas, A. Botchkarev, A. Salvador, and H. Morkoç, "Suppression of Leakage Currents and Their Effect on the Electrical Performance of AlGaIn/GaN MODFETs", Appl. Phys. Lett. Vol. 69 (9), pp. 1229-1231, 26 August (1996).

#### abstract

Electrical characteristics of high performance GaN MODFETs grown by reactive molecular beam epitaxy method are studied experimentally. The maximum measured drain-source current is  $490\text{ mA/mm}$  which saturates at relatively low drain-source voltage  $V_{DS}$ . The transconductance increases with decreasing gate length reaching a value of  $186\text{ mS/mm}$  in devices with a gate length of  $L_G=2\text{ }\mu\text{m}$ . Breakdown voltages of about  $100\text{ V}$  have also been exhibited by devices with  $2\text{ }\mu\text{m}$  gate lengths and  $1\text{ }\mu\text{m}$  gate to drain spacing. To our knowledge, this are the best values obtained so far from GaN MODFETs which we attribute to the suppression of leakage paths and improved materials quality.

Zhifang Fan, Changzhi. Lu , A. Botchkarev, H. Tang, A. Salvador, Ö. Aktas, W. Kim and H. Morkoç, "AlGaIn/GaN Double Heterostructure Channel Modulation Doped Field Effect Transistors (MODFETs)", Electronics. Lett. Vol. 33, pp. 814-815 (1997) .

#### Abstract:

AlGaIn/GaN double heterostructure channel modulation doped field effect- transistor (DHCMODFETs) with a  $1\text{ }\mu\text{m}$  gate lengths and a  $3\text{ }\mu\text{m}$  channel length exhibiting record transconductances and saturation current levels have been demonstrated. The maximum normalized

drain current and transconductance are about 1100 mA/mm and 270 mS/mm, respectively, at room temperature. Near pinch-off, the drain breakdown voltage is about 80 V. At an elevated temperatures of 300 °C, the maximum drain source current and extrinsic transconductance of the device is about 500 mA/mm and 120 mS/mm, respectively.

Ö. Aktas, Z. Fan, A. Botchkarev, , M. Roth, T. Jenkins, L. T. Kehias, and H. Morkoç, "Microwave Performance of AlGaIn/GaN Inverted MODFETs", IEEE Elect. Dev. Letts. Vol. 18, pp 293-295,(1997).

#### Abstract

Microwave performance of inverted AlGaIn/GaN MODFETs with 2  $\mu\text{m}$  gate lengths and 78  $\mu\text{m}$  gate widths is reported. Devices exhibited a normalized CW output power of 1.5 W/mm and PAE of 17.5 % at 4 GHz without catastrophic failure. These results are extraordinary considering the low current gain cut off frequency of 6 GHz which is a direct result of the long gate length. Inverted MODFETs exhibit reduced DC output conductance compared to normal MODFETs attesting that a part of the negative output conductance observed in nitride based FETs, which is often attributed to poor thermal conductivity of sapphire substrate, is due to carrier loss to the buffer layer at high fields. The electron mobility and sheet carrier concentration were 1450  $\text{cm}^2/\text{Vs}$  (350 at room temperature) and  $1.3 \times 10^{13} \text{ cm}^{-2}$  at 77K indicating that inverted interfaces in this class of heterostructures, unlike the GaAs/AlGaAs system, are comparable at this stage to the normal interface with the added advantage of barrier at the buffer layer side reducing the differential output conductance. Moreover, these together with other exciting results reported in the literature attest to the potential of nitride based heterostructures for high power applications.

T. J. Schmidt, X. H. Yang, W. Shan, J. J. Song, A. Salvador, W. Kim, Ö. Aktas, A. Botchkarev, and H. Morkoç, "Room-Temperature Stimulated Emission in GaN/AlGaIn Separate Confinement Heterostructures Grown by Molecular Beam Epitaxy", Appl. Phys. Letts. Vol. 68, pp. 1820-1822, (1996).

abstract

We report the results of an optical pumping study of GaN/AlGaN separate confinement heterostructures grown on sapphire substrates by molecular beam epitaxy. Strong near ultraviolet stimulated emission was achieved at room temperature using a frequency-tunable nanosecond laser system with a side-pumping configuration. The pumping threshold for stimulated emission at room temperature was found to be  $\sim 90 \text{ kW/cm}^2$ . The results suggest that the quantum confinement and waveguiding effects can substantially increase the quantum efficiency resulting in a significant decrease in the stimulated emission threshold.

G. Y. Xu, A. Salvador, W. Kim, Z. Fan, C. Lu, H. Tang, H. Morkoç, G. Smith, M. Estes, B. Goldenberg, W. Yang, and S. Krishnankutty, "Ultraviolet Photodetectors based on GaN p-i-n and AlGaN(p)-GaN(i)-GaN(n) Structures" Appl. Phys. Letts. Vol. 71, No. 15, pp. 2154-2156, (1997).

#### Abstract

We have investigated the spectral response of surface-illuminated GaN and AlGaN/GaN p-i-n ultraviolet photodetectors prepared by reactive molecular beam epitaxy (RMBE) on sapphire substrates. GaN homojunction p-i-n photodiodes exhibited a peaked response near the band edge. This enhanced response was absent in the AlGaN/GaN heterojunction p-i-n detectors. We analyzed the effect of p-layer thickness of the GaN p-i-n diodes on the magnitude of the peaked photoresponse. The AlGaN/GaN photodiodes had a maximum zero-bias responsivity of 0.12 A/W at 364 nm, which decreased by more than three orders of magnitude for wavelengths longer than 390 nm. A reverse bias of -10 V raised the responsivity to 0.12 A/W without any significant increase in noise. The root-mean-square(rms) noise current at 400 nm is  $\sim 1.0 \text{ pA}$  corresponding to a noise-equivalent-power of  $\sim 8.3 \text{ pW}$ .

G. Smith, M. J. Estes, D. Tang, A. Salvador, Z. Fan, G. Xu, A. Botchkarev, and H. Morkoç, "Megahertz Bandwidth  $\text{Al}_x\text{Ga}_{1-x}\text{N/GaN}$ - Based pin Detector" SPIE meeting, San Jose CA, January 25-28, 1998.

#### Abstract

This paper discusses recent results of time response and spectral responsivity measurements made on  $\text{Al}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$ -based p-i-n ultraviolet (UV) detectors with  $0.03 < x < 0.12$ , where  $x$  is the aluminum concentration.  $\text{Al}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$ -based p-i-n detectors with response times ( $1/e$ ) as fast as 6 ns corresponding to greater than 26 MHz bandwidths are reported. Peak spectral responsivities of homojunction  $\text{Al}_{0.03}\text{Ga}_{0.97}\text{N}$  p-i-n W detectors were found to be as high as 0.08 A/W at 343 nm while those of the  $\text{Al}_{0.1}\text{Ga}_{0.9}\text{N}/\text{GaN}$  p-i-ns were as high as 0.15 A/W at 360 nm. Homojunction GaN and  $\text{Al}_{0.03}\text{Ga}_{0.97}\text{N}$  as well as p- $\text{Al}_{0.1}\text{Ga}_{0.9}\text{N}/\text{i-GaN}/\text{n-GaN}$  (heretofore referred to as  $\text{Al}_{0.1}\text{Ga}_{0.9}\text{N}/\text{GaN}$ ) structures were grown on sapphire substrates by reactive molecular beam epitaxy (MBE) and processed into UV detectors. These p-i-n detectors were then characterized in terms of their time response and spectral responsivity. Attempts to measure the noise of the  $\text{Al}_{0.03}\text{Ga}_{0.97}\text{N}$  homojunction p-i-ns are also discussed.

## List of Publications in Nitrides Resulting from the AFOSR Support

Book: H. Morkoç, "Wide Bandgap Nitrides and Devices", Springer, in press.

Edited: G. Pensl, H. Morkoç, B. Monemar and E. Janzén, "Proceedings of the International Conference on Silicon Carbide, III-Nitrides and Related Materials, ICSC III-N, '97, Stockholm, Sweden, Aug. 31, 1997. Materials Science Forum Vols. 264-268, Trans Tech Publication Ltd. ISSN 0255-5476

### Book Chapters:

S. N. Mohammad and Hadis Morkoç, "MODFETs: Operation, Status and Applications", in "Compound Semiconductor Electronics: The Age of Maturity". Ed. M. S. Shur, World Scientific Publishing Company. ISBN 981-02-2325, 1996, pp. 25-84

Hadis Morkoç, F. Hamdani, and Arnel Salvador, "Electronic and Optical Properties of III-V Nitride-based Quantum Wells and superlattices" in Gallium Nitride, Eds. J. Pankove and T. Moustakas. Academic Press, 1997. Eds. J. Pankove and T. Moustakas, in the Semiconductors and Semimetals Series Vol. 50, pp. 193-254, (1997). Eds. R. K. Willardson and E. R. Weber, Academic Press

H. Morkoç, "Beyond SiC! III-V Nitride Based Heterostructures and Devices" in SiC Materials and Devices, Ed. Y. S. Park, Academic Press, Willardson and Beer Series.

S. N. Mohammad, and H. Morkoç, "Light Emitting Diodes" in Wiley Encyclopedia of Electrical Engineering and Electronics Engineering, Ed. J. Webster, John Wiley and Sons, Inc. Publishers.

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### Tutorial Articles:

G. B. Gao, S. N. Mohammad, G. M. Martin, and H. Morkoç, "III-V Compound Semiconductor Heterojunction Bipolar Transistors", in "International Journal of High Speed Electronics and Systems", Vol. 6, No. 1, March 1995. " Ed. M. S. Shur, World Scientific Publishing Company.

S. N. Mohammad, A. Salvador, and H. Morkoç, "Emerging GaN Based Devices" Proc. IEEE, Vol. 83, pp. 1306-1355, October 1995, INVITED.

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H. Morkoç, "The Global Wireless Web" IEEE Circuits and Devices Magazine, Vol. 13, pp. 32-40, March 1997

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Samuel Strite and Hadis Morkoç, "MBE Growth of GaAs/Ge Heterostructures," in Handbook of Thin Film Process Technology, D. A. Glocker and S. I. Shah, Eds., (Institute of Physics, Philadelphia 1995) pp. X2.1:1-5.

A. Botchkarev, A. Salvador, B. Sverdlov, J. Myoung, and H. Morkoç, "Properties of GaN Films Grown Under Ga and N Rich Conditions with Plasma Enhanced MBE" J. Appl. Phys. Vol. 77, No. 9, pp. 4455-4458, (1995).

Özgür Aktas, W. Kim, Z. Fan, S.N. Mohammad, A. Botchkarev, A. Salvador, B. Sverdlov, and H. Morkoç "High Transconductance-Normally-Off GaN MODFETs", Electron. Letts. Vol. 31, No. 16, pp. 1389-1390, (1995).

G. A. Martin, S. T. Strite, A. Botchkarev, A. Agarwal, A. Rockett, W. R. L. Lambrecht, B. Segall, and H. Morkoç, "Valence Band Discontinuity Between GaN and AlN Measured by X-Ray Photoemission Spectroscopy", Journal of Electronic Materials, APR, Vol. 24, N4, pp. 225-227.1995,

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## Progress Made in MIS-Like Structures

The key ingredient for the success of Si based electronic devices is the MOSFET made possible by the native dielectric having a high field strength, large bandgap, low bulk trap concentration which forms a high quality. MOSFETs have low gate leakage and allow complementary devices for low power consumption. Compound semiconductors lack such a dielectric. In its place, other dielectrics such as  $\text{SiO}_2$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{Al}_2\text{O}_3$ , GaS,  $\text{Ga}_2\text{O}_3$  ( $\text{Gd}_3\text{Ga}_5\text{O}_{12}$ ), oxidized AlAs, oxidized Al, have been attempted. However, inversion FETs have not been achieved. Use of Si interlayers followed by  $\text{SiO}_2$  appears attractive and has been explored by several groups. In order to avoid any possible oxidation of the GaAs surface we have used  $\text{Si}_3\text{N}_4$  as the insulator with a Si interface layer and obtained interface state densities in the mid to high  $10^{10} \text{ cm}^{-2} \text{ eV}^{-1}$  in both n- and p-type capacitors on a regular basis. This effort has recently led to self aligned/accumulation with n-channel MISFETs with  $2.2 \mu\text{m}$  gate lengths which exhibited transconductances of  $170 \text{ mS/mm}$ , and inversion n-channel MISFETs, albeit with low transconductances, part of which can be attributed to inferior device topography and processing. Progress has been made to remedy the apparent shortcomings of the fabrication related issues as well as the possible unfavorable outcome of the strain between Si and (100) GaAs.

An in-depth investigation of growth and characterization of GaAs based metal-insulator-semiconductor structures with strained hetero-interlayers afforded by a multi-chamber deposition system has been undertaken. The novel heteroepitaxial interlayers employed between  $\text{Si}_3\text{N}_4$  dielectric and GaAs semiconductor were Si,  $\text{Si/Al}_{0.3}\text{Ga}_{0.7}\text{As}$ ,  $\text{Si/GaP}$ ,  $\text{Si/Ge}$ , and  $\text{Si/In}_{0.05}\text{Ga}_{0.95}\text{As}$ . Electrical measurements examining the insulator/ semiconductor interface were complemented by reflection high electron energy diffraction, scanning tunneling microscopy, and x-ray photoelectron spectroscopy as well as spectroscopic ellipsometry measurements. The effort culminated in the attainment of interface trap densities near mid  $10^{10} \text{ eV}^{-1} \text{ cm}^{-2}$ , which is the lowest values ever reported, on both n- and p-type GaAs MIS structures. This has allowed the realization of accumulation mode with high transconductance GaAs metal-insulator-semiconductor field-effect transistors (MISFETs) having a maximum transconductance approaching  $170 \text{ mS/mm}$  as well as inversion mode MISFETs.

Utilizing an *in situ*, low temperature deposition utilizing both chemical vapor deposition and molecular beam epitaxy, an insulator/semiconductor interface of exceptional quality has been achieved. A minimum interface state density from a  $\text{Si}_3\text{N}_4/\text{Si}$  structure is  $2 \times 10^{10} \text{ eV}^{-1} \text{ cm}^{-2}$  near Si midgap was obtained in a GaAs-based MIS heterostructure. Typical interface trap densities of  $5 \times 10^{10} \text{ eV}^{-1} \text{ cm}^{-2}$  were realized at  $\text{Si}_3\text{N}_4/\text{GaAs}(001)$  interfaces with accurate control of the interfacial Si thickness. Furthermore, promising interface properties of MIS diode gated on  $\text{GaAs}(111)\text{B}$  surface have been achieved with minimum interface state densities in the high  $10^{10} \text{ eV}^{-1} \text{ cm}^{-2}$  range, which is likely to be possible even on pre-patterned  $\text{GaAs}(100)$  substrates. The low density of surface states as well as the presence of 1 MHz C-V curves at liquid nitrogen temperatures confirm the realization of an unpinned GaAs surface Fermi level.

The thermal stability of  $\text{Si}_3\text{N}_4/\text{Si}/\text{GaAs}$  interfaces after high temperature annealing were examined via electrical methods, XPS and spectroscopic ellipsometry measurements uncovered interface degradation in samples subjected to heat treatments above  $750^\circ\text{C}$ . It was found that a reduction in the Si interlayer thickness took place during the deposition of silicon nitride, which is most likely caused by outdiffusion of Ga, thus degrading the  $\text{Si}_3\text{N}_4/\text{Si}/\text{GaAs}$  interfaces. An employment of Si and wider bandgap materials such as  $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$  and GaP in between  $\text{Si}_3\text{N}_4$  and GaAs enhanced the total band bending of insulator/semiconductor interface about 0.2 eV, which modifies the band discontinuity of the  $\text{Si}_3\text{N}_4/\text{Si}/\text{GaAs}$  interfaces. Interface trap densities of  $< 1.1 \times 10^{11} \text{ eV}^{-1} \text{ cm}^{-2}$  are obtained from  $\text{Si}_3\text{N}_4/\text{Si}/\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}/\text{GaAs}$  and  $\text{Si}_3\text{N}_4/\text{Si}/\text{GaP}/\text{GaAs}$  interfaces and the thermal stability of the latter structure was found to be less degraded compared to that of  $\text{Si}_3\text{N}_4/\text{Si}/\text{GaAs}$  interfaces.

Minority-carrier generation and recombination kinetics of  $\text{Si}_3\text{N}_4/\text{GaAs}$  MIS structures with Si and Si/Ge interlayer were studied via temperature-dependent C-V measurements. An activation energy ( $E_a$ ) of 0.7 eV and 0.68 eV from the p-GaAs and n-GaAs MIS structures was obtained, indicating

that the recombination of the minority-carriers take place through the bulk traps in the GaAs midgap. On the other hand, the  $E_a$  of 0.58 eV from the  $\text{Si}_3\text{N}_4/\text{Si}/\text{Ge}/\text{n-GaAs}$  MIS structures suggested that the activation energy is 0.13 eV smaller than half the bandgap energy of GaAs due to the Ge interlayer.

Using  $\text{Si}/\text{In}_{0.05}\text{Ga}_{0.95}\text{As}$  interlayers, improved FET performance over  $\text{Si}_3\text{N}_4/\text{Si}/\text{GaAs}$  structure was attained. Self-aligned gate depletion mode  $\text{In}_{0.05}\text{Ga}_{0.95}\text{As}$  metal-insulator-semiconductor field-effect-transistors (MISFETs) having 3 mm gate lengths exhibited field-effect bulk mobility of  $1400 \text{ cm}^2/\text{Vs}$  and transconductances of about 170 mS/mm. Realization of such a high transconductance for a device with relatively large channel length attests to the suitability of InGaAs channel in MISFETs. Due to high electron mobility of  $\text{In}_{0.05}\text{Ga}_{0.95}\text{As}$  and low gate leakage current of MIS structure, this material system has the potential for an extremely high performance low power metal insulator semiconductor technology. Utilizing a re-growth scheme, n-channel  $\text{Si}/\text{GaAs}$  MISFETs have been fabricated with about  $2 \mu\text{m}$  gate lengths which demonstrated transistor action.

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